

# Application Form

Please complete this application form in BLOCK CAPITALS and return to: Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

## 1. Personal Details (all applicants must complete either section 1 or 2)

Applicant 1

Applicant 2 (if required)

Existing Holder ID (if known)

Existing Holder ID

Title Surname

Title Surname

Forename(s) in full

Forename(s) in full

Address

Address

Postcode

Postcode

Email Address

Email Address

Date of Birth           Telephone Number

Date of Birth           Telephone Number

Up to two further account holders may be registered. If this is required, then please attach their details on a separate sheet of paper and ensure they sign all attachments and the declaration. In the case of joint holders, all payment and correspondence will be sent to the first named holder unless otherwise requested.

Account Designation, if required (Purchases for minors may be registered in the name of an adult and designated with the initials of the minor)

\* If YES, please provide a list of the following to accompany this application form stating the relevant capacity the person is acting in: (i) further Trustees not included as applicants; (ii) beneficiaries who are nominated to benefit from more than 25% of the Trust Property; (iii) any other person who may exercise control over the Trust and (iv) class of beneficiary where no individual beneficiaries. Where payment is being made from a Trust bank account, please send a certified copy of the Trust Deeds for our records.

Please detail any residency or citizenship(s) held in any country other than the UK:

Does the designation signify a Trust? YES/NO

## 2. Company Name

Investments cannot be registered in the name of a trust, scheme or executorship. Instead they should be in the name(s) of the individual trustee(s).

Existing Holding Reference (if known)

Address

Company Name

Authorised Signatory Name 1

Postcode

Authorised Signatory Name 2

Email Address

Account Designation, if required

Telephone Number(s)

## 3. For Non UK Resident Investors only

Please provide your Tax Identification Number (TIN) (if known)

Place of Birth (Town and Country)

Please forward a copy of the section of your passport (or official identity card) showing the above details.

## 4. Beneficial Ownership (complete this section if the registered holder is NOT the beneficial owner)

Beneficial Holder/Name	Address	Date of Birth	Signature	Date

Applicants for direct investments are required to disclose circumstances where an individual or organisation other than the investor(s) named on the application form ultimately owns or controls the investment being made. You are therefore required to make the relevant disclosure on the application form and where necessary provide the full name of any additional parties who have beneficial ownership of this investment. There are additional requirements for bodies corporate, partnerships, trusts, fund administrators/distributors and estates of deceased persons. Please contact us if you would like to discuss documentation requirements.

## 5. Professional Adviser's Details (if applicable)

Adviser Name

Agent Name and Address or Stamp

FCA Number

Agent Code (if known)

Tick here if advice has been given  Tick here if cancellation rights apply

Initial Commission % (not available on UK Retail advised business)

## 6. Client Identification

In order to comply with Money Laundering Regulations we are required to verify your identity and address. We will do this by checking your details against various databases via a credit reference agency. These checks will not have any impact on your credit rating.

In the event that we are unable to verify your identity in this way we may write to you to request additional documentation.

Overseas investors should contact us prior to investing to discuss documentation requirements.

## 7. Investment Details

You may invest in one or more funds. The minimum subscription for each fund is detailed in the relevant Key Investor Information Document.

Fund Name	Regular Savings (£)	Lump Sum (£)
1.		
2.		
3.		
4.		
5.		
<b>Total</b>		

If you require **income to be paid out** to you, please tick here:  Income will be paid to the applicants bank account detailed in Section 10. Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at no initial charge (where only income units/shares are available).

## 8. Declaration (all applicants must sign)

- I declare that I am 18 years of age or over. I wish to buy units/shares as set out above at the buying price prevailing at the first valuation following receipt of this order
- I declare that I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the funds
- I am aware that the full Prospectus which includes the risk warnings relevant to the fund(s) is available from Marlborough Fund Managers Ltd
- I confirm that I am not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold units/shares in the fund(s)
- I agree to the terms and conditions of Marlborough Fund Managers Ltd.

Marlborough Fund Managers Ltd as data controller will handle your personal details in accordance with the Data Protection Act 1998. We may contact you from time to time with details of other products or services we offer. If you do NOT wish to receive such information please tick here

Applicant 1

<b>Full Name</b>	
<b>Signature</b>	<b>Date</b>

Applicant 2

<b>Full Name</b>	
<b>Signature</b>	<b>Date</b>

## 9. Completed Application Form

**Have you:**

- Provided full registration details - *Sections 1 to 4*
- Stated your fund choice(s) and how much you wish to invest - *Section 7*
- Signed and dated the declaration - *Section 8*
- Completed and signed the mandate for regular savers and/or income payments (if applicable) - *Section 10*
- Enclosed a cheque made payable to: Marlborough Fund Managers Ltd (The maximum acceptable cheque is £100,000).

If you have any queries or need further assistance with the completion of this application form, please contact your Professional Adviser or contact us on the following:

Dealing: 0808 145 2501  
 Email: dealing@marlboroughfunds.com  
 Website: www.marlboroughfunds.com

Up to date Key Investor Information Documents, Prospectuses and Manager's Reports & Accounts for any fund within the Manager's range, can be requested by the investor at any time.

Your completed Application Form together with your cheque should be returned to your Professional Adviser or direct to: Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

## 10. Mandate Details (any bank details given in this section will override any existing bank details that we may hold for you)

Applicant bank account details to be inserted for:

- Paying income out from any selected funds (where applicable)
- Regular savers (where applicable).

Service User: Marlborough Fund Managers Ltd  
 Marlborough House, 59 Chorley New Road, Bolton BL1 4QP



Service User No: 942939

Instruction to your bank or building society to pay by Direct Debit

To the Manager	Bank/Building Society
Address	
Post Code	
Name(s) of Account Holder(s)	

Reference (to be completed by Marlborough)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Instruction to your Bank or Building Society:** Please pay Marlborough Fund Managers Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Marlborough Fund Managers and if so, details will be passed electronically to my Bank/Building Society.

Bank/Building Society Account Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Sort Code

--	--	--	--	--	--

<b>Signature</b>	<b>Date</b>
------------------	-------------

<b>Signature</b>	<b>Date</b>
------------------	-------------

Please be aware that Banks and Building Societies may not accept Direct Debit instructions for some types of account and any bank details given in this section will override any existing bank details that we may hold for you, unless otherwise stated.

We will write to you to confirm the date on which your Direct Debit will be collected.

## 11. Direct Debit Guarantee (this guarantee should be detached and retained by the payer)

- This guarantee is offered by all Bank and Building Societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Marlborough Fund Managers Ltd will notify you 3 working days in advance of your account being debited or as otherwise agreed. If you request Marlborough Fund Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit by Marlborough Fund Managers Ltd or your Bank/Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Marlborough Fund Managers Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written Confirmation may be required. Please also notify us.

