

ISA Application Form

2019/2020

Marlborough

— Fund Managers —

Please complete this application form in **BLOCK CAPITALS** and return to: Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP or to your financial adviser.

SECTION A - PERSONAL DETAILS - please complete this section in full as this is mandatory

Title Surname Forename(s)

Permanent residential address

Postcode

Nationality Date of birth D | D | M | M | Y | Y | Y | Y

National insurance number X | X | X | X | X | X | X | X | X | X If you do not have a NI number please tick this box

Telephone number Email address

Existing holder ID / account number (if known)

How would you like to receive documentation related to your investments? Post Email

I apply to subscribe to a Stocks & Shares ISA for the tax year 2019/2020 and each subsequent tax year until further notice. (Please be aware that this statement, recommended by HMRC, enables you to invest in future tax years without the need for a new application form. It does not oblige you to invest with Marlborough Fund Managers in subsequent tax years unless you decide to do so.)

SECTION B - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable

Adviser's name/firm

Adviser's email address

FCA/FRN number

Agency code (if known)

Please tick all which apply

Cancellation rights apply Discretionary business Advised business

Execution only Portfolio management services

Adviser's firm stamp

SECTION C - INCOME INSTRUCTION & BANK DETAILS - please tick only one option. This section is mandatory

When providing bank details please ensure these are accurate as we cannot accept any responsibility for payments made to incorrect account details which have been provided here.

REINVEST INCOME
(accumulation shares will be used where available)

OR

PAY INCOME TO MY BANK ACCOUNT
(see below)

Cheques cannot be sent for dividend payments, if bank details are not provided income will be reinvested.

Name of bank/building society

Name of account holder

Bank account number Sort code X | X | - | X | X | - | X | X

Roll number / building society reference

SECTION G - DECLARATION - please ensure that you read and sign this section as this is mandatory

- I declare that I have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the Marlborough Fund Managers Ltd Terms & Conditions and agree to be bound to these. I understand that these documents form part of the terms and conditions of my investment in the fund(s) and are available at www.marlboroughfunds.com
- To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein
- I declare that I am 18 years of age or over. I am resident in the United Kingdom (UK) for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the UK, or I am married to or in a civil partnership with, a person who performs such duties. I will inform Marlborough Fund Managers Ltd if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties
- I confirm that I am not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s)
- I apply to subscribe for a Stocks & Shares ISA for the tax year and amount, stated overleaf and each subsequent year
- I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks & shares ISA, an innovative finance ISA and a Lifetime ISA in the same tax year
- All subscriptions made and to be made belong to me
- I hereby authorise Marlborough Fund Managers:
 - (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
 - (ii) to make on my behalf any claims or relief from tax in respect of ISA investments
- I have read the Marlborough Fund Managers Privacy Notice concerning the use of my personal data

Client Signature _____

Date

D		D		M		M		Y		Y		Y		Y
---	--	---	--	---	--	---	--	---	--	---	--	---	--	---

SECTION H - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Marlborough Fund Managers Ltd will notify you 3 working days in advance of your account being debited or as otherwise agreed. If you request Marlborough Fund Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request

- If an error is made in the payment of your Direct Debit, by Marlborough Fund Managers Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society

- If you receive a refund you are not entitled to, you must pay it back when Marlborough Fund Managers Ltd asks you to

- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

